(ces domaines ne présentent que des déformations d'allongement) et, pour les domaines ' $a$ ' où

$$
\begin{gather*}
d_{14}=d_{15}, \text { à } \\
x_{4}=d_{15} E_{2}=d_{15} E \tag{3}
\end{gather*}
$$

(ces domaines ne présentent que des déformations de cisaillement).

Les observations faites s'expliquent par les relations (1), (2) et (3). Excepté au voisinage des domaines ' $a$ ', les déformations du cristal ' $c$ ' consistent essentiellement en un allongement de la maille conformément aux équations (2).

Par contre les domaines ' $a$ ' sont soumis à un cisaillement mais l'équation (3) n'est pas applicable, ces domaines petits n'étant pas libres de contraintes. Les mouvements de rotation observés sont en relation avec cet effet dont l'influence s'étend aux domaines ' $c$ ' contigus.

Compte tenu des difficultés d'analyse des déformations d'une structure polydomaine, le seul résultat quantitatif rigoureux concerne le coefficient $d_{33}$. C'est ainsi que les rotations observées pour les domaines ' $a$ ' sont supérieures à la rotation qui serait dûe à un simple cisaillement, compte tenu de la valeur de $d_{15}\left(11,76 \cdot 10^{-6}\right.$ C.G.S.) donnée par Berlincourt \& Jaffe (1958).

La déformation piézoélectrique d'allongement enregistrée pour le domaine ' $c$ ' loin des parois est constante quelque soit la rotation subie par les plans au point considéré. La valeur numérique du coèfficient piézoélectrique $d_{33}$ obtenu est $4,6 \cdot 10^{-6}$ C.G.S.

La valeur donnée par Berlincourt \& Jaffe est $2,57 \cdot 10^{-6} \mathrm{C}$.G.S., tandis que la valeur de Caspari \& Merz (1950) est $3,96 \cdot 10^{-6}$ C.G.S., mais il s'agissait de
mesures macroscopiques qui sont moins significatives si l'on a pas affaire à un cristal parfaitement monodomaine.
Pour la région 4, les équations (2) donneraient les valeurs plus élevées $7,5 \cdot 10^{-6}$ et $9 \cdot 10^{-6}$ C.G.S. En fait ces équations ne sont plus valables en raison des contraintes.

## Conclusion

On a voulu montrer le caractère complexe du comportement structural des cristaux de titanate de baryum avec et sans champ électrique appliqué; on a pu mettre en évidence des rotations d'axes parallèles aux frontières des domaines sous l'action du champ, des déformations de la maille variable d'un point à l'autre. Avec les modifications apportées à la méthode de Lambot-Vassamillet la carte des variations spaciales des distances réticulaires et des désorientations peut être obtenue sans ambiguité et avec une bonne précision, en utilisant des temps de pose très courts et avec des réglages aisés.

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# The Resolution Function in Neutron Diffractometry 

 I. The Resolution Function of a Neutron Diffractometer and its Application to Phonon Measurements*By M. J. Cooper $\dagger$ and R. Nathans<br>Brookhaven National Laboratory, Upton, New York, U.S.A.

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#### Abstract

The general features of the resolution function of a crystal diffractometer and its experimental determination are considered. An analytic expression is derived for its form for a three-crystal diffractometer assuming Gaussian mosaic and collimation functions. Under these conditions the loci of constant probability are shown to be ellipsoids and the application of the resolution function to neutron measurements is discussed with particular reference to inelastic phonon measurements. Experimental evidence is presented in support of these considerations.


## Introduction

The resolution of neutron diffractometers has been considered by a number of authors because of two

[^0]substantially different effects which it may have on the results of neutron scattering experiments.

On the one hand focusing effects may result in increasing both the maximum intensity and the sharpness of diffraction peaks, as has been discussed by Caglioti and his coworkers (Caglioti, Paoletti \& Ricci, 1958, 1960; Caglioti \& Ricci, 1962; Caglioti, 1964; Caglioti \& Tocchetti, 1964, 1965), Shull (1960) and Willis (1960)
for the case of Bragg reflections and by Collins (1963) and others for the case of inelastic phonon scattering. The focusing conditions depend on the collimation and on the mosaic spread of the monochromator and analyzer crystals and these authors have examined in detail the best choice of the various adjustable parameters to utilize the focusing effects always present in crystal diffractometers.

On the other hand it may be the detailed form of the scattering cross section which is of interest, in which case the parameters should be chosen so that this can be determined most accurately. Under these conditions, some types of focusing may be a severe disadvantage. A detailed consideration of the resolution function is then essential for a satisfactory analysis of the experimental results, both in the investigation of some types of diffuse scattering, for example critical magnetic scattering, and in the detailed study of peak shapes and widths, for example broadening of inelastic scattering peaks due to the finite life-times of phonons and magnons.

It is our purpose in the present work to consider under what conditions it is possible to make accurate resolution corrections to the observed data and to present supporting experimental evidence. In the present paper we shall discuss the resolution function in general terms and then consider in detail its determination for a three-crystal diffractometer and its application to phonon measurements. In a later paper we shall then consider the resolution function for a twocrystal diffractometer and its application to the measurement of both diffuse scattering and Bragg reflections.

## Definition of the resolution function

The layout of a typical three-crystal diffractometer is illustrated in Fig. 1 ; in a two-crystal diffractometer the analyzer is omitted and the detector is mounted to receive directly the neutrons scattered by the sample at the desired angle. $2 \theta_{M}, 2 \theta_{S}, 2 \theta_{A}$ are the scattering angles of the monochromator, sample, and analyzer respectively.

The resolution of the diffractometer is best considered in reciprocal space. We associate a scattering process in which the neutron loses an energy $\hbar \omega$ for a change in momentum of $\hbar \mathbf{Q}$ with a particular point in $\omega, \mathbf{Q}$ space. The settings of $20_{M}, 2 \theta_{S}$ and $2 \theta_{A}$ define the point in $\omega, \mathbf{Q}$ space for which the probability of detection is highest, according to the relations:

$$
\begin{gather*}
k_{I}=\frac{\pi}{d_{M} \sin \theta_{M}} \quad k_{F}=\frac{\pi}{d_{A} \sin \theta_{A}}  \tag{1}\\
\mathbf{Q}_{0}=\mathbf{k}_{F}-\mathbf{k}_{I}  \tag{2}\\
\hbar \omega_{0}=\frac{\hbar^{2}}{2 m}\left(k_{I}^{2}-k_{F}^{2}\right) \tag{3}
\end{gather*}
$$

where $d_{M}$ and $d_{A}$ are the $d$ spacings of the monochromator and analyzer respectively and the angle between $\mathbf{k}_{F}$ and $\mathbf{k}_{I}$ is $2 \theta_{S}$.

However, the presence of finite collimation and finite mosaic spread in the monochromator and analyzer allow less probable neutrons to be detected at the same settings. The resolution function of the instrument is the probability of detection of neutrons as a function of $\Delta \omega$ and $\Delta \mathbf{Q}$ when the instrument has been set to measure a scattering process corresponding to the point $\omega_{0}, \mathbf{Q}_{0}$.

## Method of determination

In order to calculate the resolution function we assume that the transmission function of a Soller slit collimator and the mosaic spreads of the monochromator and analyzer are Gaussian distributions. This assumption allows us to calculate explicitly an analytic form for the resolution function at any point in $\omega, \mathbf{Q}$ space for any set of values for the instrumental parameters.

Although the mosaic spreads of the crystals and the divergence angles of the collimators can be determined independently, the values so obtained may not be the effective values for the instrument as a whole. The following procedure may therefore be adopted for determining the effective values indirectly. By scanning a number of Bragg reflections from a perfect crystal sample the resolution function in $\omega, \mathbf{Q}$ space for these particular configurations can be determined and the effective instrumental parameters calculated.

The collimating properties of Soller slit systems have been discussed by Sailor, Foote, Landon \& Wood (1956), who point out that although the angular transmission function for these should be triangular, in practice imperfections and critical reflection lead to a function which is more nearly Gaussian in shape. These authors were concerned with fine collimation systems


Fig. 1. Layout of a typical three-crystal diffractometer.
( $<10^{\prime}$ ). We have been able to confirm that the larger divergence systems ( $10-40^{\prime}$ ) used in conventional diffractometers can have angular transmission functions which are essentially Gaussian in form over an appreciable angle. However, we may note that Shull (1960) has carried out resolution calculations for a triangular function and finds the results to be very closely the same as for a Gaussian function.


Fig.2. Observed rocking curve for the 220 reflection of a distorted germanium crystal.

While the Gaussian form for the mosaic spread of ideally imperfect crystals is usually assumed in most extinction calculations, the large metal single crystals required for the monochromator and analyzer are usually found to have a lineage structure which varies from point to point on the crystal. However, the procedure adopted by Barrett, Mueller \& Heaton (1963) to distcrt relatively perfect germanium crystals* has been found to produce crystals with Gaussian shaped rocking curves of about $15-30^{\prime}$ full width at half height, as shown in Fig.2. These crystals are used in transmission geometry which, combined with their mosaic uniformity, leads to highly homogeneous monochromatized neutron beams.

## Derivation of the resolution function

Fig. 3 shows a vector diagram in reciprocal space. $\mathbf{k}_{I}(P O)$ is the wave vector of the most probable incident neutron from the monochromator; $\mathbf{k}_{F}(P Q)$ is the wave vector of this neutron after scattering through an angle $2 \theta_{S}$ and an energy transfer for optimum acceptance by the analyzer. $\mathbf{k}_{i}$ and $\mathbf{k}_{f}$ are wave vectors corresponding to any scattering process in the sample and we shall define $\Delta \mathbf{k}_{i}=\mathbf{k}_{i}-\mathbf{k}_{I}$ and $\Delta \mathbf{k}_{f}=\mathbf{k}_{f}-\mathbf{k}_{F}$. We define

* As adapted in this laboratory by Dr Cox, Mr Hurst, and Mr Merkert.


Fig. 3. Vector diagram in reciprocal space for a general scattering process.
also the horizontal and vertical divergence angles with respect to the optimum directions to be $\gamma_{0}, \gamma_{1}, \gamma_{2}, \gamma_{3}$, and $\delta_{0}, \delta_{1}, \delta_{2}, \delta_{3}$, respectively, where $0,1,2$, and 3 refer to in-pile, monochromator to sample, sample to analyzer, and analyzer to detector regions respectively and all divergence angles are measured in the same sense (e.g. all $\gamma$ 's counterclockwise in Fig.3).

If there is no correlation between wave number and direction in the neutron beam striking the monochromator then the beam reflected from it will be correlated only with respect to its horizontal divergence. If the monochromator is set to reflect the required wavelength with maximum reflectivity $P_{M}$, then assuming a Gaussian mosaic spread defined by $\eta_{M}$ (defined as the angle of mis-set of the crystal to give a probability of reflection of $\exp \left(-\frac{1}{2}\right)$ times the optimum probability) the probability of reflection for a general neutron is:
$P\left(\Delta k_{i}, \gamma_{1}\right)=P_{M} \exp \left\{-\frac{1}{2}\left(\frac{\left(\Delta k_{i} / k_{I}\right) \tan \theta_{M}+\gamma_{1}}{\eta_{M}}\right)^{2}\right\}$,
where $\theta_{M}$ is the Bragg angle of the monochromator for $k_{I}$ [equation (1)] and we assume that $\Delta k_{i}$ is small compared with $k_{I}$.

Similarly, the probability of reflection by the analyzer of a general scattered neutron is:

$$
\begin{equation*}
P\left(\Delta k_{f}, \gamma_{2}\right)=P_{A} \exp \left\{-\frac{1}{2}\left(\frac{\left(\Delta k_{f} / k_{F}\right) \tan \theta_{A}-\gamma_{2}}{\eta_{A}}\right)^{2}\right\}, \tag{5}
\end{equation*}
$$

where $\theta_{A}$ is the Bragg angle of the analyzer for $k_{F}$ [equation (1)], $P_{A}$ is the optimum reflectivity of the crystal, $\eta_{A}$ defines its mosaic spread (analogous to $\eta_{M}$ ) and we assume that $\Delta k_{f}$ is small compared with $k_{F}$.

Introducing now the transmission functions of the Soller slit systems, the probability of a neutron being detected is:

$$
\begin{align*}
& P\left(\Delta k_{i}, \Delta k_{f}, \gamma_{1}, \gamma_{2}, \delta_{1}, \delta_{2}\right) \\
& \quad=P_{M} P_{A} P_{0} \exp \left\{-\frac{1}{2}\left[\left(\frac{\left(\Delta k_{i} / k_{I}\right) \tan \theta_{M}+\gamma_{1}}{\eta_{M}}\right)^{2}\right.\right. \\
& \quad+\left(\frac{\left(\Delta k_{f} / k_{F}\right) \tan \theta_{A}-\gamma_{2}}{\eta_{A}}\right)^{2} \\
& \quad+\left(\frac{2\left(\Delta k_{i} / k_{I}\right) \tan \theta_{M}+\gamma_{1}}{\alpha_{0}}\right)^{2}+\frac{\gamma_{1}^{2}}{\alpha_{1}^{2}}+\frac{\gamma_{2}^{2}}{\alpha_{2}^{2}} \\
& \quad+\left(\frac{2\left(\Delta k_{f} / k_{F}\right) \tan \theta_{A}-\gamma_{2}}{\alpha_{3}}\right)^{2}+\left(\frac{\delta_{1}^{2}}{4 \tan ^{2} \theta_{M} \eta_{M}^{\prime 2}+\beta_{0}^{2}}\right) \\
& \left.\left.\quad+\frac{\delta_{1}^{2}}{\beta_{1}^{2}}+\frac{\delta_{2}^{2}}{\beta_{2}^{2}}+\left(\frac{\delta_{2}^{2}}{4 \tan ^{2} \theta_{A} \eta_{A}^{\prime 2}+\beta_{3}^{2}}\right)\right]\right\}, \tag{6}
\end{align*}
$$

where $\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}$ and $\beta_{0}, \beta_{1}, \beta_{2}, \beta_{3}$ are the characteristic horizontal and vertical angles of the collimators defined so that the probability of transmission of a neutron with divergence angle $\alpha_{0}$ (for example) is $\exp \left(-\frac{1}{2}\right) \times$ $P\left(\alpha_{0}=0\right) . P_{0}$ and the expressions given for the in-pile collimation terms (in $\alpha_{0}, \beta_{0}$ ) are derived in Appendix I: the expressions for the detector collimation terms can
be derived in the same way. In many instances the in-pile and detector collimations may be sufficiently relaxed for the corresponding terms to be ignored.

This expression for the probability can be separated into two independent terms, a horizontal term $P_{H}\left(\Delta k_{i}\right.$, $\left.\Delta k_{f}, \gamma_{1}, \gamma_{2}\right)$ and a vertical term $P_{V}\left(\delta_{1}, \delta_{2}\right)$, such that the total probability $P=P_{H} \times P_{V}$. The value of the resolution function at a given point in $\omega, \mathbf{Q}$ space is then obtained by integrating the probability over all possible paths ( $\mathbf{k}_{i}, \mathbf{k}_{f}$ ) to that point:

$$
\begin{equation*}
R(\omega, \mathbf{Q})=\int P(\omega, \mathbf{Q}) \mathbf{d k}_{i} \mathbf{d k}_{f} \tag{7}
\end{equation*}
$$

Before integration we transform our variables into $\omega, \mathbf{Q}$ space and hence determine $R\left(\omega_{0}+\Delta \omega, \mathbf{Q}_{0}+\Delta \mathbf{Q}\right)$. The details of this calculation are given in Appendix II, where it is shown that we can write the resolution function in the form:

$$
\begin{align*}
& R\left(\omega_{0}+\Delta \omega, \mathbf{Q}_{0}+\Delta \mathbf{Q}\right)= \\
& \quad R_{0} \exp \left\{-\frac{1}{2} \sum_{k=1}^{4} \sum_{l=1}^{4} M_{k l} X_{k} X_{l}\right\} \tag{8}
\end{align*}
$$

where $X_{1}=\Delta Q_{x}, X_{2}=\Delta Q_{y}, X_{3}=\Delta Q_{z}, X_{4}=\Delta \omega$ and for convenience $X_{1}$ is taken parallel to $\mathbf{Q}_{0}$ and $X_{3}$ is taken to be vertical. $R_{0}$ is the optimum value of the resolution function $R\left(\omega_{0}, \mathbf{Q}_{0}\right)$.
$R_{0}$ and $M_{k l}$ are involved functions of $k_{I}, \omega_{0}, \mathbf{Q}_{0}$ and $\eta_{M}, \eta_{A}, d_{M}, d_{A}, \alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}, \beta_{0}, \beta_{1}, \beta_{2}, \beta_{3}$ but the dependence of the resolution function on $\Delta \omega$ and $\Delta \mathbf{Q}$ is quite simple, being Gaussian for any straight line through $\omega_{0} \mathbf{Q}_{0}$. Further, by setting the summation in the exponent in equation (8) equal to $p$ we define the locus of points in $\omega, \mathbf{Q}$ space for which the resolution function has a value $R_{0} \exp (-p / 2)$ which is seen to be an ellipsoid:

$$
\begin{equation*}
\sum_{k=1}^{4} \sum_{l=1}^{4} M_{k l} X_{k} X_{l}=p \tag{9}
\end{equation*}
$$

It is therefore convenient to visualize the resolution function by considering the $50 \%$ (of $R_{0}$ ) probability ellipsoid, for which $p=1 \cdot 386$. We shall refer to this ellipsoid as the resolution ellipsoid and illustrate its form in Fig. 4 for several sets of instrumental parameters.


Fig.4. The resolution ellipsoid for a set of typical instrumental parametels with various values of $d_{A}$. Relative scales: $1 \AA^{-1}(Q) \equiv 10 \AA^{-2}(\omega)$.

The observed intensity for a given scattering cross section will be:

$$
\begin{align*}
& I\left(\omega_{0}, \mathbf{Q}_{0}\right)=\int R\left(\omega_{0}+\Delta \omega, \mathbf{Q}_{0}+\Delta \mathbf{Q}\right) \\
& \quad \sigma\left(\omega_{0}+\Delta \omega, \mathbf{Q}_{0}+\Delta \mathbf{Q}\right) \Delta \mathbf{Q} \Delta \omega \tag{10}
\end{align*}
$$

If we have a cross section which is a $\delta$ function at a particular point in $\omega, \mathbf{Q}$ space we can measure directly the magnitude of the resolution function at that point. Such a cross section is available in a Bragg reflection from a perfect crystal and we can use this to determine the resolution function in the plane $\omega=0, \mathbf{Q}=\mathbf{Q}_{B}=2 \pi \tau$. However, we are not able to move this $\delta$ function continuously in $\omega, \mathbf{Q}$ space, and to determine the resolution function for points off this plane we must assume that we can consider the resolution function to be independent of $\omega_{0}$ and $Q_{x}$ for small deviations of these from the values 0 and $Q_{B}$, an assumption which is in general valid unless $Q_{0}$ becomes very small. That is, we assume

$$
\begin{align*}
R\left(\Delta \omega_{0}+\Delta \omega, \mathbf{Q}_{B}+\Delta \mathbf{Q}_{0}+\Delta \mathbf{Q}\right)= \\
R\left(\Delta \omega, \mathbf{Q}_{B}+\Delta \mathbf{Q}\right) \tag{11}
\end{align*}
$$

for small $\Delta \omega_{0}, \Delta \mathbf{Q}_{0}\left(\| \mathbf{Q}_{B}\right)$.
Pictorially we are then scanning the resolution function through the Bragg peak instead of scanning the Bragg peak through the resolution function.

The results of such a determination using a perfect single crystal of germanium ( $\eta \approx 0 \cdot 1^{\prime}$ ) and Gaussian 'distorted' germanium crystals as monochromator and analyzer are illustrated in Fig.5, which shows sections of the ellipsoid in the $\Delta Q_{x}, \Delta Q_{y} ; \Delta Q_{x}, \Delta \omega$ and $\Delta Q_{y}, \Delta \omega$


Fig.5. Sections of an experimentally determined resolution ellipsoid and a typical $\Delta Q_{z}$ dependence of the resolution function.
planes; for convenience we have plotted this in terms of $\Delta E(=\hbar \Delta \omega)$. Fig. 5 shows also a typical $\Delta Q_{z}$ dependence of the resolution function.

The experimental resolution function has the form predicted by the analytic expression and we are thus able to compare it directly with that calculated from the instrumental parameters $\eta_{M}, \eta_{A}, \alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}$. Having confirmed these parameters we can then calculate the resolution function at any point in $\omega, \mathbf{Q}$ spaie, the dependence on $\Delta Q_{z}$ being independent of position.

## Application to phonon measurements

## Intensities and line shapes

We see from equation (10) that the intensity observed for a given setting of the diffractometer ( $\omega_{0}, \mathbf{Q}_{0}$ ) is given by the convolution of the scattering cross section with the resolution function centered on $\omega_{0}, \mathbf{Q}_{0}$. For phonon scattering we have a surface in $\omega, \mathbf{Q}$ space for which the cross section is finite. We shall call this the dispersion surface and we shall assume that to a first approximation the cross section is constant for that part of the surface over which the resolution function is appreciable. We shall also assume for the moment that the width of the surface is a $\delta$ function, that is we shall ignore any broadening due to finite phonon life-times or to sample mosaic. Under these conditions the intensity is simply given by integrating the resolution function over the dispersion surface, and the calculation can be further simplified by considering the dispersion surface to be planar, as a first approximation.

An expression for the intensity for the special case with $\omega_{0}, \mathbf{Q}_{0}$ on a planar dispersion surface and with no in-pile or detector collimation has been given by Collins (1963), who uses this to provide a basis for focusing considerations. We have derived in a similar way the intensity for the more general case of a planar dispersion surface passing through any point in the resolution function and including all the collimation. The resultant intensity is of the form:

$$
\begin{equation*}
I=I_{0} \exp \left(-\frac{1}{2} H_{S}^{2}\right) \tag{12}
\end{equation*}
$$

where $I_{0}$ is the peak intensity for $\omega_{0}, \mathbf{Q}_{0}$ lying on the dispersion plane and $H_{S}$ is proportional to the distance of $\omega_{0}, \mathbf{Q}_{0}$ from the dispersion plane. The inclusion of all the collimation makes $I_{0}$ and $H_{S}$ rather complex functions of the instrumental parameters; we therefore feel that the matrix notation is more convenient for calculation purposes and we have calculated in Appendix III expressions for $I_{0}$ and $H_{S}$ in terms of $M_{k l}$.

It can be seen that if our Gaussian approximations are valid then any linear scan in $\omega, \mathbf{Q}$ space passing through the dispersion surface will be Gaussian in shape and we can readily calculate the predicted width, using computer programs to calculate $M_{k l}$ and hence $H_{S}$. Comparing this with the observed width it should then be possible to investigate line broadening arising from finite phonon life-times. Nevertheless, in order to
choose the most favorable scans for this type of work it is still necessary to consider focusing effects.

If the sample has a finite mosaic spread we must consider the dispersion surface to have a finite width. We may consider any point on the surface to be spread out by the mosaic along the $\Delta \mathbf{Q}_{y}$ and $\Delta \mathbf{Q}_{z}$ directions and hence calculate the width from a knowledge of the mosaic spread. If the mosaic spread of the sample is Gaussian, then the width of the dispersion surface will also be Gaussian and we can readily take this effect into account in calculating the widths of experimental phonon peaks. Additional broadening due to finite phonon life-times can then be studied by comparing the calculated and observed peak widths.

## Focusing effects

Focusing effects in phonon measurements are essentially of two different types. We can firstly choose our experimental parameters to optimize the peak intensity $I_{0}$ and secondly choose our mode of scanning in $\omega, \mathbf{Q}$ space to minimize the width of the observed peak. Both these types of focusing can be studied by considering the relationship of the resolution ellipsoid to the dispersion surface.

As can be seen from Figs. 4 and 5 the resolution ellipsoid is extremely aspherical and it is this property which gives rise to the focusing effects. One principal axis is always along $\Delta Q_{z}$, and since we shall consider only cases for which $\mathbf{Q}_{z}=0$ we shall not discuss the $z$ dependence of the ellipsoid further. In the cases considered, which are for typical 'parallel' arrangements as illustrated in Fig. 1, one other principal axis is near the $\Delta \mathbf{Q}_{x}$ direction and the remaining two are rotated somewhat from $\Delta \mathbf{Q}_{y}$ and $\Delta \omega$. Moreover, the ellipsoid is elongated towards $\Delta \mathbf{Q}_{x}$ more than towards $\Delta \mathbf{Q}_{y}$ and is considerably elongated out of the $\Delta \omega=0$ plane.

Since we have considered the resolution in terms of the energy lost by the neutron as a function of $\mathbf{Q}$ we can simply consider the scattering cross section as the dispersion surface $\omega(\mathbf{q})$ where $\mathbf{q}$ is a vector in $\mathbf{Q}$ space from the relevant Bragg point and the momentum of the created phonon is $-\hbar \mathbf{q}$. That is for phonon creation (energy loss) we plot $\omega(\mathbf{q})$ positive and for phonon annihilation (energy gain) we plot $\omega(\mathbf{q})$ negative.

For a planar dispersion surface intensity focusing will occur when the resolution ellipsoid and the dispersion surface are aligned to maximize the area of the surface which is enclosed by the resolution ellipsoid. For a non-planar dispersion surface we must weight each point on the surface by the resolution function at that point. For simplicity we shall consider intensity focusing to be of two types, ' $Q$ ' focusing and 'gradient' focusing.

If we consider, for purposes of illustration, a dispersion surface as some sort of cone around the Bragg point, the section of this in the $\Delta \omega=0, \Delta Q_{z}=0$ plane will be roughly circular. Since the resolution ellipsoid is elongated in this plane along $\Delta Q_{x}$ partial focusing will occur for particular points on the section when the
sectional ellipse is aligned along the circumference as illustrated in Fig. 6.

The more important 'gradient' focusing arises when the orientation of the ellipsoid is such that the longest principal axis, which lies roughly in the $\Delta \omega, \Delta Q_{y}$ plane, is aligned in the dispersion surface. Since the principal ellipse lying roughly in this plane is so asymmetric marked focusing or defocusing can occur for transverse phonons with $\operatorname{grad}_{q} \omega$ along $Q_{y}$, depending on the value of the gradient. Focusing effects are clearly far less for longitudinal phonons with $\operatorname{grad}_{\mathbf{q}} \omega$ along $Q_{x}$, since for


Fig. 6. Illustration of ' $Q$ ' focusing, showing the intersection of the dispersion surface and the resolution ellipsoid on the $\Delta \omega=0, \Delta Q_{z}=0$ plane for longitudinal ( $L_{1}, L_{2}$ ) and transverse ( $T_{1}, T_{2}$ ) phonons.


Fig. 7. Illustration of 'gradient' focusing, showing the intersection of the dispersion surface and the resolution ellipsoid on the $E, Q$ plane for longitudinal ( $L_{1}, L_{2} ; \Delta \bar{Q} \| 2 \pi \tau$ ) and transverse ( $T_{1}, T_{2} ; \Delta \bar{Q} \perp 2 \pi \tau$ ) phonons.
these the ellipsoid is always tilted almost perpendicular to grad $_{9} \omega$. These effects are illustrated in Fig.7, and we may note that a focusing position for energy loss is a defocusing position for energy gain and vice versa. We may also note in passing that in the case of magnon scattering the gradient of the dispersion surface may be very large, resulting in better focusing being achieved for the longitudinal modes.

Figs. 6 and 7 also illustrate the conditions under which intensity focusing may be undesirable, namely when the dispersion surface has any appreciable curvature. This will occur when $q$ is small or when the magnitude of $\operatorname{grad}_{\mathbf{q}} \omega$ is changing rapidly, for example at Kohn anomalies, and will introduce an asymmetry into an experimental peak and give rise to a displacement of the observed maximum intensity. Although corrections can be applied if the dispersion surface is known sufficiently well, under these conditions it may well be advantageous to minimize the overlap of the resolution function and the dispersion surface in certain directions.

It is also clear from the figures that the width of a phonon peak will depend on the particular scan in $\omega, \mathbf{Q}$ space used and the ideal scan will be one normal to the dispersion surface. However, it is nct always convenient to adopt a general scanning mode and it may therefore be more convenient to consider only 'constant $\mathbf{Q}$ ' and 'constant $\omega$ ' scans and use whichever is more suitable for a given phonon.
To achieve a more favorable focusing condition in a particular case we can select the best point in reciprocal space from those at which a particular phonon can be observed and secondly we can change our in-


Fig. 8. Experimental 'constant $\bar{Q}$ ' phonon peaks from germanium and iron. The figures in brackets are the factors by which the intensity has been multiplied.
strumental parameters, in particular $d_{M}, d_{A}$, and the relative senses of $2 \theta_{M}, 2 \theta_{S}$ and $2 \theta_{A}$, to give the most suitable resolution function. However, the orientation of the resolution ellipsoid is surprisingly insensitive to changes in the instrumental parameters and any focusing so achieved may be gained at the expense of over-all intensity. A series of calculations is being made to investigate these effects as fully as possible. It should be pointed out that the resolution functions for energy loss and energy gain are not equivalent owing to the difference in $k_{F}$, a factor which should also be taken into consideration.
To illustrate and support our earlier considerations we present in Fig. 8 a series of experimental phonon scans obtained by Minkiewicz and Shirane with a perfect germanium sample and an iron crystal with a mosaic spread of 7', adopting a 'constant $\mathbf{Q}$ ' mode of scan. We have deliberately included various degrees of focusing and defocusing and compared the results for energy loss and energy gain. Fig. 9 shows the points in reciprocal space at which measurements were made and in Table 1 we give the observed and predicted widths of the peaks, taking into account the mosaic spread of the sample. The theoretical resolution function used was in this case calculated from values of $\eta_{M}$ and $\eta_{A}$ which were measured directly and values of $\alpha_{0}, \alpha_{1}, \alpha_{2}, \alpha_{3}$ which were calculated from the dimensions of the collimators. This was compared with the observed resolution function at the germanium 200 reflection (Fig.5) and the dimensions of the resolution ellipsoid were found to agree within a few per cent. In general the over-all agreement between the calculated and observed widths is reasonably good. However, in almost all the cases, we find the observed widths to be greater than the calculated. While it is tempting to attribute these differences to the presence of finite phonon life-times, we feel further work is called for before this point can be definitely established. Experiments on silicon at low temperatures are now in progress with a view toward checking this important feature of our results.

Table 1. Observed and predicted widths of phonon peaks

| $\begin{aligned} & \text { Phonon } \\ & \text { (see Fig. 8) } \end{aligned}$ | Energy (meV) | Half-width (meV) |  |
| :---: | :---: | :---: | :---: |
|  |  | Observed | Predicted |
| A | 10.8 | 1.5 | $1 \cdot 3$ |
| B | 10.8 | $2 \cdot 0$ | 1.8 |
| C | $6 \cdot 8$ | $0 \cdot 6$ | $0 \cdot 4$ |
| D | 6.8 | $4 \cdot 2$ | $3 \cdot 4$ |
| E | 9.9 | $1 \cdot 2$ | $1 \cdot 2$ |
| $F$ | 14.8 | $1 \cdot 0$ | $1 \cdot 2$ |
| G | $7 \cdot 8$ | $1 \cdot 3$ | $1 \cdot 2$ |
| H | $10 \cdot 5$ | $1 \cdot 2$ | $1 \cdot 1$ |
| I | $10 \cdot 3$ | $1 \cdot 2$ | $0 \cdot 9$ |
| $J$ | $15 \cdot 2$ | 1.0 | 0.8 |
| K | $8 \cdot 0$ | $1 \cdot 4$ | $1 \cdot 1$ |
| $L$ | $10 \cdot 7$ | $1 \cdot 1$ | $1 \cdot 0$ |
| M | 25.2 | $1 \cdot 4$ | $1 \cdot 3$ |
| $N$ | 28.8 | $2 \cdot 0$ | 1.7 |
| O | 31.6 | $3 \cdot 3$ | $2 \cdot 3$ |
| $P$ | 33.5 | $3 \cdot 8$ | $2 \cdot 9$ |
| $Q$ | $35 \cdot 9$ | $5 \cdot 5$ | $4 \cdot 2$ |

## Summary

We have shown that the theoretical resolution function for Gaussian mosaic and collimation functions is such that the loci of constant probability are ellipsoids. Quite good agreement has been obtained between an observed resolution at a Bragg reflection and that calculated from the parameters of the instruments. These instrumental parameters also lead to reasonable predictions for the widths of certain phonon peaks, although there seems to be a systematic disagreement between calculated and observed widths of the phonon peaks.

The applications of these resolution ellipsoids in choosing the particular spectrometer arrangement for scanning an inelastic neutron group are obvious, both from the viewpoint of maximizing peak intensity and also with respect to establishing on an absolute basis quantitative peak widths at various points on the dispersion surface. Although we have not emphasized the point one can use this analysis to establish the conditions under which distorted peaks are to be expected or how most effectively to make scans in the immediate vicinity of Bragg peaks. Admittedly, the algebra involving the dependence of the orientation and size of the resolution ellipsoid on the spectrometer parameters is cumbersome; however, it is relatively easy to program the entire operation to provide information of value to the experimentalist.

We wish to acknowledge the numerous and detailed conversations with our colleagues, Drs Shirane, Minkiewicz, Alperin, and Pickart. In particular we are grateful to Dr G. Caglioti for his careful criticism of the manuscript and to Miss E. Wolfson for the computer programming associated with the work.

## APPENDIX I

## In-pile collimation

The probability function for an in-pile collimator will be:

$$
\begin{equation*}
P\left(\gamma_{0}, \delta_{0}\right)=\exp \left\{-\frac{1}{2}\left(\frac{\gamma_{0}^{2}}{\alpha_{0}^{2}}+\frac{\delta_{0}^{2}}{\beta_{0}^{2}}\right)\right\}, \tag{13}
\end{equation*}
$$

and in addition there will be a term in $\delta_{1}-\delta_{0}$ arising from the vertical mosaic of the monochromator crystal:

$$
\begin{equation*}
P\left(\delta_{1}-\delta_{0}\right)=\exp \left\{-\frac{1}{2} k_{I}^{2}\left(\frac{\delta_{1}-\delta_{0}}{Q_{M} \eta_{M}^{\prime}}\right)^{2}\right\} \tag{14}
\end{equation*}
$$

where $\mathbf{Q}_{M}$ is the scattering vector for the Bragg reflection of the monochromator, $\eta_{M}^{\prime}$ defines the mosaic spread in the vertical plane containing $\mathbf{Q}_{M}$ and we assume $\Delta k_{i}$ to be small compared with $k_{I}$.

The horizontal in-pile divergence angle is related to the corresponding divergence angle for the monochromated beam and the Bragg angle of the monochromator.

We have that

$$
\begin{equation*}
k_{i} \sin \theta_{M}^{\prime}=k_{I} \sin \theta_{M}, \tag{15}
\end{equation*}
$$

where $\theta_{M}^{\prime}$ is the Bragg angle of the monochromator for wave number $k_{i}$.

Therefore

$$
\begin{equation*}
\Delta k_{i}=\frac{k_{I}\left(\sin \theta_{M}-\sin \theta_{M}^{\prime}\right)}{\sin \theta_{M}^{\prime}} . \tag{16}
\end{equation*}
$$

If we put

$$
\begin{equation*}
\theta_{M}^{\prime}=\theta_{M}+\varepsilon \tag{17}
\end{equation*}
$$

then for small $\varepsilon$

$$
\begin{equation*}
\frac{\Delta k_{i}}{k_{I}}=-\frac{\varepsilon}{\tan \theta_{M}} \tag{18}
\end{equation*}
$$

Now

$$
\begin{equation*}
2 \varepsilon=\gamma_{1}-\gamma_{0} \tag{19}
\end{equation*}
$$

so that

$$
\begin{equation*}
\frac{\Delta k_{i}}{k_{I}}=\frac{\gamma_{0}-\gamma_{1}}{2 \tan \theta_{M}} \tag{20}
\end{equation*}
$$

and

$$
\begin{equation*}
\gamma_{0}=2\left(\Delta k_{i} / k_{I}\right) \tan \theta_{M}+\gamma_{1} . \tag{21}
\end{equation*}
$$

For a given value of $\delta_{1}$ we can integrate the vertical terms over $\delta_{0}$ :

$$
\begin{align*}
P_{\delta_{1}} & =\int \exp \left\{-\frac{1}{2}\left[\frac{\delta_{0}^{2}}{\beta_{0}^{2}}+k_{I}^{2}\left(\frac{\delta_{1}-\delta_{0}}{Q_{M} \eta_{M}^{\prime}}\right)^{2}\right]\right\} d \delta_{0}  \tag{22}\\
& =P_{0} \exp \left\{-\frac{1}{2}\left(\frac{\delta_{1}^{2}}{4 \tan ^{2} \theta_{M} \eta_{M}^{\prime 2}+\beta_{0}^{2}}\right)\right\}, \tag{23}
\end{align*}
$$

where

$$
\begin{equation*}
P_{0}=\left[\frac{2 \pi}{\frac{1}{\beta_{0}^{2}}+\frac{1}{4 \tan ^{2} \theta_{M} \eta_{M}^{\prime 2}}}\right]^{ \pm} . \tag{24}
\end{equation*}
$$

It may be noted that for $\beta_{0}$ large $P_{\delta_{1}} \rightarrow P_{0}$ and the exponent becomes independent of the vertical mosaic spread.


Fig.9. The points in reciprocal space at which the peaks in Fig. 8 were measured. The Brillouin zone around each Bragg reciprocal lattice point is shown.

## APPENDIX II

## Derivation of the resolution matrix

For one phonon neutron scattering processes the conservation of momentum and energy are represented by the following equations:

$$
\begin{gather*}
\mathbf{k}_{f}=\mathbf{k}_{i}-\left(2 \pi \tau+\mathbf{q}^{\prime}\right)  \tag{25}\\
\frac{\hbar^{2}}{2 m} k_{f}^{2}=\frac{\hbar^{2}}{2 m} k_{i}^{2}-\hbar \omega \tag{26}
\end{gather*}
$$

where $\mathbf{k}_{i}$ and $\mathbf{k}_{f}$ are the wave vectors of the incident and scattered neutron respectively, $m$ is the mass of the neutron, $2 \pi \tau$ is a reciprocal lattice vector and $\mathbf{q}^{\prime}$ and $\omega$ are the wave vector and angular frequency of the created phonon.

The conservation of momentum [equation (25)] is illustrated in Fig. 3, where we show the most probable wave vectors, $\mathbf{k}_{I}$ and $\mathbf{k}_{F}$, for incident and scattered neutrons and define three sets of axes with respect to the vectors $\mathbf{k}_{I}, \mathbf{k}_{F}$ and $\mathbf{Q}\left(=\mathbf{k}_{F}-\mathbf{k}_{I}\right)$. It is convenient to define $\mathbf{q}\left(=-\mathbf{q}^{\prime}\right)$ as the displacement of $Q$ from the Bragg reciprocal lattice point concerned. (See Application to phonon measurements.) The notation used is summarized in Table 2.

## Table 2. Notation

| $\mathrm{k}_{i}$ | Any incident wave vector |
| :---: | :---: |
| $\mathbf{k}_{f}$ | Any scattered wave vector |
| $\mathbf{k}_{\text {f }}$ | The most probable $\mathbf{k}_{i}$ |
| $\mathrm{k}_{F}$ | The most probable $\mathbf{k}_{f}$ |
| $\Delta \mathbf{k}_{i}$. | $\mathbf{k}_{i}$ - $\mathbf{k}_{I}$ |
| $\Delta \mathbf{k}_{f}$ | $\mathbf{k}_{f}-\mathbf{k}_{F}$ |
| $\alpha_{j}$ | Horizontal collimation angle |
| $\beta_{j}$ | Vertical collimation angle |
| $\gamma_{j}$ | Horizontal divergence angle |
| $\delta_{j}$ | Vertical divergence angle |
| $j=0$ | In-pile region |
| $j=1$ | Monochromator to sample |
| $j=2$ | Sample to analyzer |
| $j=3$ | Analyzer to detector |
| $\eta_{M}$ | Horizontal mosaic spread of monochroma |
| ${ }_{7}{ }_{4}$ | Horizontal mosaic spread of analyzer |

If we put $\Delta \mathbf{k}_{i}=\mathbf{k}_{i}-\mathbf{k}_{I}$ and $\Delta \mathbf{k}_{f}=\mathbf{k}_{f}-\mathbf{k}_{F}$ then the change in $\mathbf{Q}$ from the most probable value is given from equation (25) as

$$
\begin{equation*}
\Delta \mathbf{Q}=\Delta \mathbf{k}_{f}-\Delta \mathbf{k}_{i} . \tag{27}
\end{equation*}
$$

If $\Delta \mathbf{k}_{i}$ has components $x_{1}, y_{1}, z_{1}$ along the axes $i_{1}, j_{1}, l_{1}$ defined with respect to $\mathbf{k}_{I}$ and $\Delta \mathbf{k}_{f}$ has components $x_{2}, y_{2}, z_{2}$ along axes $i_{2}, j_{2}, l_{2}$ defined with respect to $\mathbf{k}_{F}$, then we can readily determine their components along axes $i_{0}, j_{0}, l_{0}$ defined with respect to $\mathbf{Q}$.

We then have

$$
\begin{align*}
& \Delta \mathbf{k}_{i}=\left(x_{1} b+y_{1} a\right) \mathbf{i}_{0}+\left(-x_{1} a+y_{1} b\right) \mathbf{j}_{0}+z_{1} \mathbf{l}_{0}  \tag{28a}\\
& \Delta \mathbf{k}_{f}=\left(x_{2} B+y_{2} A\right) \mathbf{i}_{0}+\left(-x_{2} A+y_{2} B\right) \mathbf{j}_{0}+z_{2} \mathbf{l}_{0}, \tag{28b}
\end{align*}
$$

where

$$
\begin{align*}
& a=\sin \Phi \quad A=\sin \left(2 \theta_{S}+\Phi\right)  \tag{29}\\
& b=\cos \Phi \quad B=\cos \left(2 \theta_{S}+\Phi\right),
\end{align*}
$$

$\Phi$ being the angle between $\mathbf{k}_{I}$ and $-\mathbf{Q}$.

From equation (27) we then have

$$
\begin{align*}
& \Delta Q_{x}=x_{2} B+y_{2} A-x_{1} b-y_{1} a  \tag{30a}\\
& \Delta Q_{y}=-x_{2} A+y_{2} B+x_{1} a-y_{1} b  \tag{30b}\\
& \Delta Q_{z}=z_{2}-z_{1} . \tag{30c}
\end{align*}
$$

From equation (26) we have also

$$
\begin{align*}
\frac{\hbar}{m} x_{2} k_{F} & =\frac{\hbar}{m} x_{1} k_{I}-\Delta \omega  \tag{31a}\\
x_{2} & =\frac{x_{1} k_{I}-\Delta \Omega / 2}{k_{F}}  \tag{31b}\\
& =\lambda x_{1}-\Delta \Omega /\left(2 k_{F}\right), \tag{31c}
\end{align*}
$$

where

$$
\begin{equation*}
\Omega=\frac{2 m}{\hbar} \omega \tag{32a}
\end{equation*}
$$

and

$$
\begin{equation*}
\lambda=k_{I} / k_{F} . \tag{32b}
\end{equation*}
$$

Eliminating $y_{2}$ and $y_{1}$ from equations (30a) and (30b) respectively we obtain

$$
\begin{align*}
& y_{1} \alpha=B \Delta Q_{x}-A \Delta Q_{y}+x_{1} \beta-x_{2}  \tag{33a}\\
& y_{2} \alpha=b \Delta Q_{x}-a \Delta Q_{y}+x_{1}-x_{2} \beta, \tag{33b}
\end{align*}
$$

where

$$
\begin{equation*}
\alpha=\sin 2 \theta_{S} \quad \beta=\cos 2 \theta_{S} \tag{34}
\end{equation*}
$$

Substituting for $x_{2}$ from equation (31) we have
$y_{1}=\left[B \Delta Q_{x}-A \Delta Q_{y}-x_{1}(\lambda-\beta)+\Delta \Omega /\left(2 k_{F}\right)\right] / \alpha$
$y_{2}=\left[b \Delta Q_{x}-a \Delta Q_{y}-x_{1}(\beta \lambda-1)+\beta \Delta \Omega /\left(2 k_{F}\right)\right] / \alpha$.
If we write

$$
\begin{align*}
& y_{1}=C x_{1}+D  \tag{36a}\\
& y_{2}=E x_{1}+F  \tag{36b}\\
& x_{2}=\lambda x_{1}+H
\end{align*}
$$

and put

$$
\begin{equation*}
\Delta Q_{x}=X_{1}, \Delta Q_{y}=X_{2}, \Delta \omega=X_{4} \tag{36c}
\end{equation*}
$$

then we have

$$
\begin{align*}
& D=d_{1} X_{1}+d_{2} X_{2}+d_{4} X_{4}  \tag{38a}\\
& F=f_{1} X_{1}+f_{2} X_{2}+f_{4} X_{4}  \tag{38b}\\
& H=h_{1} X_{1}+h_{2} X_{2}+h_{4} X_{4},
\end{align*}
$$

where the values of $d_{j}, f_{j}$ and $h_{j}$ are given from equations (32), (35) and (36) as:

$$
\begin{array}{lll}
d_{1}=B / \alpha & d_{2}=-A / \alpha & d_{4}=m /\left(\alpha \hbar k_{F}\right) \\
f_{1}=b / \alpha & f_{2}=-a / \alpha & f_{4}=\beta m /\left(\alpha \hbar k_{F}\right) \\
h_{1}=0 & h_{2}=0 & h_{4}=-m /\left(\hbar k_{F}\right) \tag{39}
\end{array}
$$

and

$$
\begin{align*}
& C=-(\lambda-\beta) / \alpha  \tag{40a}\\
& E=-(\beta \lambda-1) / \alpha . \tag{40b}
\end{align*}
$$

The resolution function, ignoring the effect of vertical collimation, is given by

$$
\begin{align*}
& R_{H} \propto \int_{-\infty}^{\infty} \exp \left\{-\frac{1}{2}\left[\left(a_{1} x_{1}+a_{2} y_{1}\right)^{2}+a_{3}^{2} y_{1}^{2}+a_{4}^{2} y_{2}^{2}\right.\right. \\
& \quad+\left(a_{5} x_{2}+a_{6} y_{2}\right)^{2} \\
& \left.\left.\quad+\left(a_{7} x_{1}+a_{8} y_{1}\right)^{2}+\left(a_{9} x_{2}+a_{10} y_{2}\right)^{2}\right]\right\} d x_{1} d y_{1} d x_{2} d y_{2} \tag{41}
\end{align*}
$$

where $a_{j}$ is given in terms of the collimation parameters:

$$
\begin{array}{lll}
a_{1}=\frac{\tan \theta_{M}}{\eta_{M} k_{I}} & a_{4}=\frac{1}{\alpha_{2} k_{F}} & a_{7}=\frac{2 \tan \theta_{M}}{\alpha_{0} k_{I}} \\
a_{2}=\frac{1}{\eta_{M} k_{I}} & a_{5}=\frac{\tan \theta_{A}}{\eta_{A} k_{F}} & a_{8}=\frac{1}{\alpha_{0} k_{I}} \\
a_{3}=\frac{1}{\alpha_{1} k_{I}} & a_{6}=\frac{-1}{\eta_{A} k_{F}} & a_{9}=\frac{2 \tan \theta_{A}}{\alpha_{3} k_{F}} \\
& & a_{10}=-\frac{1}{\alpha_{3} k_{F}} \tag{42}
\end{array}
$$

[See equations (6), (7) and (8)].
We are assuming that $\Delta k_{i}$ and $\Delta k_{f}$ are small compared with $k_{I}$ and $k_{F}$ and that the usual small angle approximations are valid, so that $y_{1}=k_{I} \gamma_{1}$, etc. We may note that in general the resolution function is an integral over four variables but that for elastic scattering $d x_{1}=d x_{2}$ and the number of variables is reduced to three.

Writing equation (41) as

$$
\begin{equation*}
R_{H} \propto \int_{-\infty}^{\infty} \exp \left\{-\frac{1}{2}\left[A^{\prime} x_{1}^{2}+B^{\prime} x_{1}+C^{\prime}\right]\right\} d x_{1} \tag{43}
\end{equation*}
$$

and putting

$$
\begin{align*}
& b_{0}=a_{1} a_{2}+a_{7} a_{8} \\
& b_{1}=a_{2}^{2}+a_{3}^{2}+a_{8}^{2} \\
& b_{2}=a_{4}^{2}+a_{6}^{2}+a_{10}^{2} \\
& b_{3}=a_{5}^{2}+a_{9}^{2} \\
& b_{4}=a_{5} a_{6}+a_{9} a_{10} \\
& b_{5}=a_{1}^{2}+a_{7}^{2} \tag{44}
\end{align*}
$$

we have

$$
\begin{align*}
& A^{\prime}=2 b_{0} C+b_{1} C^{2}+b_{2} E^{2}+b_{3} \lambda^{2}+2 b_{4} \lambda E+b_{5}  \tag{45a}\\
& B^{\prime}=2\left[\left(b_{0}+b_{1} C\right) D+\left(b_{2} E+b_{4} \lambda\right) F+\left(b_{3} \lambda+b_{4} E\right) H\right]  \tag{45b}\\
& C^{\prime}=b_{1} D^{2}+b_{2} F^{2}+b_{3} H^{2}+2 b_{4} F H \tag{45c}
\end{align*}
$$

and on integration equation (43) becomes

$$
\begin{equation*}
R_{H}=R_{0}^{H} \exp \left\{-\frac{1}{2}\left(C^{\prime}-\frac{B^{\prime 2}}{4 \overline{A^{\prime}}}\right)\right\} \tag{46}
\end{equation*}
$$

Hence the equation

$$
\begin{equation*}
C^{\prime}-\frac{B^{\prime 2}}{4 A^{\prime}}=P \tag{47}
\end{equation*}
$$

will represent the locus of points of probability $R_{0}^{H} \exp (-p / 2)$.

From equations (45) it can be shown that

$$
C^{\prime}-\frac{B^{\prime 2}}{4 A^{\prime}}=g_{0} D^{2}+g_{1} F^{2}+g_{2} H^{2}+g_{3} F H+g_{4} D F
$$

$$
\begin{equation*}
+g_{5} D H \tag{48}
\end{equation*}
$$

where

$$
\begin{align*}
& g_{0}=b_{1}-\left(b_{0}+b_{1} C\right)^{2} / A^{\prime} \\
& g_{1}=b_{2}-\left(b_{2} E+b_{4} \lambda\right)^{2} / A^{\prime} \\
& g_{2}=b_{3}-\left(b_{3} \lambda+b_{4} E\right)^{2} / A^{\prime} \\
& g_{3}=2 b_{4}-2\left(b_{2} E+b_{4} \lambda\right)\left(b_{3} \lambda+b_{4} E\right) / A^{\prime} \\
& g_{4}=-2\left(b_{0}+b_{1} C\right)\left(b_{2} E+b_{4} \lambda\right) / A^{\prime} \\
& g_{5}=-2\left(b_{0}+b_{1} C\right)\left(b_{3} \lambda+b_{4} E\right) / A^{\prime} \tag{49}
\end{align*}
$$

Hence from equation (38) we see that equation (47) represents an ellipsoid in $\Delta Q_{x}, \Delta Q_{y}, \Delta \omega$ space and writing this as

$$
\begin{equation*}
\sum_{k, l=1,2,4} \sum_{k l} M_{k} X_{k} X_{l}=p \tag{50}
\end{equation*}
$$

we have that

$$
\begin{align*}
& M_{k l}=\frac{1}{2}\left[2 g_{0} d_{k} d_{l}+2 g_{1} f_{k} f_{l}+2 g_{2} h_{k} h_{l}\right. \\
& +g_{3}\left(f_{k} h_{l}+f_{l} h_{k}\right)+g_{4}\left(d_{k} f_{l}+d_{l} f_{k}\right) \\
& \left.+g_{5}\left(d_{k} h_{l}+d_{l} h_{k}\right)\right] \tag{51}
\end{align*}
$$

for $k$ and $l=1,2$ or 4 .
Since the vertical term in the resolution function is independent of the horizontal term we have

$$
\begin{align*}
& M_{k_{3}}=M_{3 l}=0  \tag{52}\\
& \text { for } k, l \neq 3 \\
& \text { and } X_{3}=\Delta Q_{z} \tag{53}
\end{align*}
$$

The vertical term is given by

$$
\begin{equation*}
R_{V} \propto \int_{-\infty}^{\infty} \exp \left\{-\frac{1}{2}\left[a_{11}^{2}+a_{12}^{2} z_{2}^{2}\right]\right\} d z_{1} d z_{2} \tag{54}
\end{equation*}
$$

where

$$
\begin{gather*}
a_{11}^{2}=\frac{1}{\left(4 \tan ^{2} \theta_{M} \eta_{M}^{\prime}+\beta_{0}^{2}\right) k_{I}^{2}}+\frac{1}{\beta_{1}^{2} k_{I}^{2}}  \tag{55a}\\
a_{12}^{2}=\frac{1}{\beta_{2}^{2} k_{F}^{2}}+\frac{1}{\left(4 \tan ^{2} \theta_{A} \eta_{A}^{\prime 2}+\beta_{3}^{2}\right) k_{F}^{2}} . \tag{55b}
\end{gather*}
$$

We have

$$
\begin{equation*}
X_{3}=\Delta Q_{z}=z_{2}-z_{1} \tag{56}
\end{equation*}
$$

Substituting this into equation (54) we obtain

$$
R_{V} \propto \exp \left\{-\frac{1}{2} M_{33} X_{3}^{2}\right\}
$$

where

$$
\begin{equation*}
M_{33}=\frac{a_{11}^{2} a_{12}^{2}}{a_{11}^{2}+a_{12}^{2}} \tag{57}
\end{equation*}
$$

Hence the complete resolution function is

$$
\begin{equation*}
R=R_{0} \exp \left\{-\frac{1}{2} \sum_{k=1, l=1}^{4} \sum_{k l}^{4} X_{k} X_{l}\right\} \tag{58}
\end{equation*}
$$

and the probability ellipsoid in $\Delta \omega, \Delta \mathbf{Q}$ space is:

$$
\begin{equation*}
\sum_{k=1, l=1}^{4} \sum_{k l}^{4} M_{k} X_{l}=p \tag{59}
\end{equation*}
$$

where $M_{k l}$ is defined by equations (51), (52) and (57).

## APPENDIX III

## Width of phonon peak

If we take our origin for $\Delta \omega_{0}, \Delta \mathbf{Q}_{0}$ to be the point of intersection of the scan with the dispersion plane we can define the scan by the relation

$$
\begin{equation*}
\Delta \omega_{0}=\mathbf{s} \cdot \Delta \mathbf{Q}_{0} \tag{60}
\end{equation*}
$$

$\Delta \omega_{0}$ and $\Delta Q_{0}$ representing the displacement of the $\omega_{0}$ and $Q_{0}$ from this origin, and $\mathbf{s}$ being a unit vector in the direction of the scan.

We consider a planar dispersion surface through the point $\Delta \omega_{0}, \Delta \mathbf{Q}_{0}$ (see Application to phonon measurements; Focusing effects) we can write

$$
\begin{equation*}
\Delta \omega=\operatorname{grad}_{\mathbf{q}} \omega \cdot\left(\Delta \mathbf{Q}-\Delta \mathbf{Q}_{0}\right)+\Delta \omega_{0} \tag{61}
\end{equation*}
$$

for any point on this surface, and

$$
\begin{equation*}
\mathbf{c}=-\operatorname{grad}_{\mathbf{q}} \omega \tag{62}
\end{equation*}
$$

is the group velocity of the phonon.
If $c_{1}, c_{2}$ and $c_{3}$ are the components of $\mathbf{c}$ along $\Delta Q_{x}$, $\Delta Q_{y}$ and $\Delta Q_{z}$ respectively and we consider only the case of a symmetry plane such that $c_{3}=0$, theen using the same notation as before we can write equation (61) as

$$
\begin{equation*}
X_{4}=-c_{1} X_{1}-c_{2} X_{2}+W, \tag{63}
\end{equation*}
$$

where

$$
\begin{equation*}
W=\Delta \omega_{0}+\mathbf{c} \cdot \Delta \mathbf{Q}_{0}=(\mathbf{s}+\mathbf{c}) \cdot \Delta \mathbf{Q}_{0} \tag{64}
\end{equation*}
$$

The observed intensity is then obtained by integrating the resolution function over the plane defined by equation (63). Substituting the relation in this equation into the exponent of the resolution function [equation (59)] we have:

$$
\begin{align*}
& \sum_{k=1}^{4} \sum_{l=1}^{4} M_{k l} X_{k} X_{l}=M_{11} X_{1}^{2}+M_{22} X_{2}^{2}+M_{33} X_{3}^{2} \\
& +M_{44}\left(-c_{1} X_{1}-c_{2} X_{2}+W\right)^{2}+2 M_{12} X_{1} X_{2} \\
& +2\left(M_{14} X_{1}+M_{24} X_{2}\right)\left(-c_{1} X_{1}-c_{2} X_{2}+W\right) \tag{65}
\end{align*}
$$

and on integration over $X_{1}, X_{2}$ and $X_{3}$ we obtain

$$
\begin{equation*}
I_{W}=2 \pi R_{33} G_{1} G_{2} \exp \left\{-\frac{1}{2} G_{3}^{2} W^{2}\right\} \tag{66}
\end{equation*}
$$

where

$$
\begin{align*}
R_{33} & =\int_{-\infty}^{\infty} \exp \left\{-\frac{1}{2} M_{33} X_{3}^{2}\right\} d X_{3}  \tag{67a}\\
G_{1} & =1 /\left(M_{11}+c_{1}^{2} M_{44}-2 c_{1} M_{14}\right.  \tag{67b}\\
G_{2} & =1 /\left[M_{22}+c_{2}^{2} M_{44}-2 c_{2} M_{24}\right. \\
& \left.-G_{1}\left\{M_{12}-c_{1} M_{24}-c_{2}\left(M_{14}-c_{1} M_{44}\right)\right\}^{2}\right] \tag{67c}
\end{align*}
$$

$$
\begin{align*}
G_{3}^{2} & =M_{44}+G_{1} c_{1}\left(M_{14}-c_{1} M_{44}\right)^{2} \\
& -G_{2}\left[-c_{2} M_{44}+M_{24}-G_{1}\left(M_{14}-c_{1} M_{44}\right)\right. \\
& \left.\left\{M_{12}-c_{1} M_{24}-c_{2}\left(M_{14}-c_{1} M_{44}\right)\right\}\right]^{2} . \tag{67d}
\end{align*}
$$

Comparing equation (66) with equation (12) we see that the peak intensity is simply

$$
\begin{equation*}
I_{0}=2 \pi R_{33} G_{1} G_{2} \tag{68}
\end{equation*}
$$

and

$$
\begin{equation*}
H_{S}=G_{3} W \tag{69}
\end{equation*}
$$

The characteristic width of a linear scan in $\Delta \omega, \Delta \mathbf{Q}$ space in terms of $W$ is thus $1 / G_{3}$.

We note that in this derivation we have assumed that over the range of the scan, i.e. the range of $\Delta \omega_{0}$ and $\Delta \mathbf{Q}_{0}$ values, that the resolution function itself does not change [see equation (11)]. This is valid only if the range of $\Delta \omega_{0}$ and $\Delta \mathbf{Q}_{0}$ is small compared with $\omega_{0}$ and $\mathbf{Q}_{0}$, the positions corresponding to the intensity maximum in the scan.

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## Application de la Méthode d'Addition Symbolique:

Structure Cristalline de l'Acide $N$ - $\alpha$-Naphtyltetrahydro-1,2,3,6-phtalamique

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(Reçu le 23 février 1967)
The symbolic addition method has been used to determine the crystal structure of $N$ - $\alpha$-naphthyl-1,2,3,6tetrahydrophthalamic acid. The material crystallizes in the space group $P 2_{1} / c$ with cell dimensions $a=17.43, b=4.97, c=22.90 \AA, \beta=132^{\circ}$. A comparison on structural aspects is made with $N$ - $\alpha$-naphthyl-4-chlorophthalamic acid.

## Introduction

La détermination des structures cristallines de plusieurs acides arylphtalamiques a été entreprise pour contribuer à l'explication de leur mode d'action sur les végétaux. En effet certains de ces composés inversent
le sens du géotropisme de racines végétales (Mentzer, Molho \& Pacheco, 1950). Cette résolution de structure par application de la méthode d'addition symbolique fait suite à celle de l'acide $N$ - $\alpha$-naphtylchloro-4phtalamique (Mornon, 1966). L'acide $N$ - $\alpha$-naphtyl-tétrahydro-1,2,3,6-phtalamique a pour formule:


[^0]:    * Work performed under the auspices of the U.S. Atomic Energy Commission.
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